

Annexure - 1

Bank Attestation of Account Details & Account-holder's signature

{To be issued on the Bank's Letter Head OR
This form itself with Bank Official's name and Employee code mentioned & Bank seal affixed in the space below }

Date: DD / MM / YYYY

TO WHOMSOEVER IT MAY CONCERN

This is to certify that Mr. / Ms. _____

is a customer of our bank, namely, _____,

Name of the bank

_____ branch

having the following Bank Account:

Account number	
A/C type <input type="checkbox"/> Savings <input type="checkbox"/> Current <input type="checkbox"/> NRO <input type="checkbox"/> NRE <input type="checkbox"/> NRNR <input type="checkbox"/> Others (Pl. specify)	
9-Digit MICR No.	11-Digit IFSC

His/her address, as per our Bank records, is as follows:

City	PIN	State

Signature Verification by Bankers

Signature of the above customer in the box alongside, verified & validated with his/her specimen signature as per Bank's records	Signature of the client
Signature of the bank official with Bank's Seal	
Name* of the attesting Bank Official	
Designation*	
Employee Code*	
Telephone Number*	

*Mandatory

Form for providing Minor's Date of Birth & Details of the Guardian

To,

_____ Mutual Fund

Application number: _____ Folio number: _____

Name of Minor unit holder: _____

Date of Birth of the Minor: DD / MM / YYYY

Proof of Date of Birth of the minor (Attach a photocopy of any one of the following) :

- Birth Certificate School leaving certificate Passport
- Mark Sheet issued by CBSE, ICSE or Higher Secondary Board of the respective states mentioning the date of birth of the minor
- Any other valid document (please specify) _____

Name of the Guardian: _____

Relationship with Minor: Father Mother Court appointed Legal Guardian
(Please tick whichever is applicable)

Proof of Relationship with the minor (mentioning the relationship with the minor): (Select any one)

- Birth Certificate of the minor School leaving certificate of the minor Passport of the minor
- Mark Sheet issued by CBSE, ICSE or Higher Secondary Board of the respective states mentioning the date of birth of the minor
- Supporting Court Order from the competent court (in case of Legal Guardian appointed by a Court)

I hereby declare that the information provided is correct and complete. Please update the above information in your records

Thank you,

Signature of Guardian _____

Name _____

PAN of Guardian : _____

MUTUAL FUND
Request for change in status from Minor to Major

To:
The Trustees

_____ **Mutual Fund**

Name of the Applicant (unitholder who is requesting for change of status from MINOR to MAJOR)

Mr./Ms.	
Date of Birth / /	PAN
Tax Status: <input type="checkbox"/> Resident Individual <input type="checkbox"/> NRI <input type="checkbox"/> PIO <input type="checkbox"/> Others (please specify)	
<input type="checkbox"/> KYC Acknowledgment attached <input type="checkbox"/> KYC form attached <input type="checkbox"/> C-KYC Identification No. <i>Please tick✓ whichever is applicable</i>	
Name of the Guardian Mr./Ms. _____	
Relationship with the applicant: <input type="checkbox"/> Father <input type="checkbox"/> Mother <input type="checkbox"/> Court Appointed Guardian	

I, the above applicant, hereby request you to change my status from Minor to Major in the following Folios and delete the Guardian’s name therein as I have since become a major, and update the details provided herein in your records.

Folio No(s).		
1)	2)	3)
4)	5)	6)
7)	8)	9)

Contact details of the Applicant

Mobile No.+91	Tel. No. STD -
Email Address _____	

Address of the Applicant

Address Line 1 _____		
Address Line 2 _____		
City: _____	State _____	PIN

(Please note that address will be updated as per applicant’s address on KYC form / KYC Registration Agency records)

Bank Account Details of the Applicant

Bank Name _____	
Account No. _____	11-digit IFSC
A/c. Type <input type="checkbox"/> SB <input type="checkbox"/> Current <input type="checkbox"/> NRO <input type="checkbox"/> NRE <input type="checkbox"/> FCNR	9-digit MICR No.
Name of bank branch _____	
City _____	PIN
<i>Please attach & tick✓ <input type="checkbox"/> Cancelled cheque with applicant’s name printed OR <input type="checkbox"/> Applicant’s Bank Statement/Passbook</i>	

Additional KYC information (Please tick✓ whichever is applicable)

Occupation <input type="checkbox"/> Private Sector Service <input type="checkbox"/> Public Sector Service <input type="checkbox"/> Government Service <input type="checkbox"/> Business <input type="checkbox"/> Professional <input type="checkbox"/> Agriculturist <input type="checkbox"/> Retired <input type="checkbox"/> Home Maker <input type="checkbox"/> Student <input type="checkbox"/> Forex Dealer <input type="checkbox"/> Others _____ (Please specify)	
The applicant is <input type="checkbox"/> a Politically Exposed Person <input type="checkbox"/> Related to a Politically Exposed Person <input type="checkbox"/> Neither (Not applicable)	
Gross Annual Income (₹) <input type="checkbox"/> Below 1 Lac <input type="checkbox"/> 1-5 Lacs <input type="checkbox"/> 5-10 Lacs <input type="checkbox"/> 10-25 Lacs <input type="checkbox"/> 25 Lacs-1crore <input type="checkbox"/> >1 crore	

FATCA and CRS information

Country of Birth _____	Place of Birth _____	
Nationality _____		
Are you a tax resident of any country other than India? <input type="checkbox"/> Yes <input type="checkbox"/> No		
If Yes, please mention all the countries in which you are resident for tax purposes and the associated Taxpayer Identification Number and its identification type in the column below		
Country	Tax-Payer Identification Number	Identification Type

Nomination (Please tick (✓) one of the options below)

<input type="checkbox"/> I wish to make a nomination and hereby nominate the person/s more particularly described in the Nomination Form attached herewith, to receive the Units held my folio in the event of my death. <i>{Recommended}</i>
<input type="checkbox"/> I DO NOT wish to make a nomination (Please tick ✓ if you do not wish to nominate anyone)

Declaration and Signature of the Applicant

I have attached herewith all the relevant / required documents as indicated below.

I confirm that the information provided above is true and correct to the best of my knowledge and belief.

I undertake to keep _____ Mutual Fund / its AMC/RTA informed about any changes/modification to the above information in future and also undertake to provide any other additional information as may be required by the AMC / RTAs.

I hereby authorize _____ Mutual Fund and its AMC/RTA to share/discard any of the information provided by me/us, including any changes in respect thereof to the Mutual Fund's Bankers or my Distributor / Investment Advisor and to such other service providers as may be necessary for any operational reason, including to verify/validate my / our bank account details. I / We also authorize the Mutual Fund & its AMC/RTA to provide/share any of the information provided by me/us including my holdings in the Mutual Fund to any governmental or statutory or judicial authorities/agencies as required by law without any obligation of informing me/us of the same.

My signature hereinbelow has been attested by the Guardian on record My bankers Notary / JMFC

Place _____

Date _____

Signature of Applicant _____

Signature Attestation	
(To be attested by the Guardian (as registered in the folio of the applicant who has become a major) or a Notary or Judicial Magistrate First Class (JMFC)®	
Name of the Guardian / Stamp of the Notary/JMFC	The above signature of the applicant duly attested by me
	_____ Signature
<small>@ Alternatively, please attach banker’s certification / attestation in the prescribed form as per Annexure I</small>	

Documents attached –

- Copy of PAN Card of applicant
- KYC Acknowledgment OR KYC form of applicant
- Cancelled cheque with applicant’s name pre-printed OR Applicant’s Bank Statement/Passbook
- Annexure-I – Bankers Attestation of Signature of the applicant
- Nomination Form

Request Form for Change in Guardian of Minor Unitholder

To _____ Mutual Fund

Dear Sirs,

I/We request you to note the change in the guardian of the below-named minor unitholder in your records for the reason indicated hereinbelow:

Name of the Minor Unitholder		
Folio No.		
Name of the new Guardian		
PAN of the new Guardian		
Contact details	Mobile No. _____	Tel. No. <u>STD -</u> _____
	Email Id. _____	
Relationship with the Minor	<input type="checkbox"/> Father <input type="checkbox"/> Mother <input type="checkbox"/> Legal Guardian <i>Please tick [✓] whichever is applicable</i>	
FATCA and CRS information of the new Guardian		
Country of Birth _____ Place of Birth _____ Nationality _____		
Are you a tax resident of any country other than India? <input type="checkbox"/> Yes <input type="checkbox"/> No		
If Yes, please mention all the countries in which you are resident for tax purposes and the associated Taxpayer Identification Number and its identification type in the column below		
Country	Tax-Payer Identification Number	Identification Type
Reason for request for change in Guardian	<input type="checkbox"/> With mutual consent with the existing guardian (who has signed below). <input type="checkbox"/> Consequent on demise of the existing guardian. <input type="checkbox"/> Pursuant to appointment of a Legal guardian by a competent court.	
Signature of the new Guardian <i>(as per the bank account of the Minor, where the new guardian is registered as the Guardian)</i>		
Attestation of signature of new Guardian by existing Guardian <i>(if change in the guardian is with mutual consent)</i>		
Name of the existing Guardian <i>(as registered in the Minor's folio)</i>		
Signature of existing Guardian		
Attestation of signature of the new Guardian by the bankers		
Bank Name _____		
Branch _____	Bank City _____	
A/c No. _____	A/c Type <input type="checkbox"/> Savings <input type="checkbox"/> Current <input type="checkbox"/> NRE <input type="checkbox"/> NRO	
Name* of the Authorised Bank Official _____	Signature of bank official with Bank's Seal	
Designation* _____		
Employee Code* _____		
Tel. Number* _____		

*mandatory

Request Form for Change in Guardian of Minor Unitholder

Documents Attached

- Copy of PAN Card of the new Guardian
- KYC Acknowledgment OR KYC form of the new Guardian
- Attested* copy of Death Certificate of the Guardian (*if applicable*)
- Attested* copy of the court order if the change guardian is due to appointment of Legal Guardian by the court.
- Documentary evidence showing relationship of the new Guardian with the Minor (*such as the minor's Passport/ birth certificate/ PAN Card / School Leaving Certificate etc. of wherein name of the new guardian is mentioned*)
- A cancelled cheque evidencing the change of guardian in respect of the minor's registered bank account with the new Guardian's name.

**To be duly attested by a Notary Public or a Judicial Magistrate First Class (JMFC) or a Gazetted Officer.*